PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0551-0021

Approv ATTTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

OT-5302 U.S. APPLICATION NO. (([f known, see 37/CFR 1.5)

CONCERNING A SOBIIIISSIO	74 GHDER 33 G.G.G. 37 I	TO! JO! JE!					
INTERNATIONAL APPLICATION NO. PCT/IB2004/002329	INTERNATIONAL FILING DATE 27 May 2004	PRIORITY DATE CLAIMED 27 May 2003					
TITLE OF INVENTION ELEVATOR DEVICE							
APPLICANT(S) FOR DO/EO/US Shusaku SHIBASAKI; Makoto YOSHIHARA; Yasuhiro GOTO; Senzo Alberto NARUKE							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
The US has been elected (Article 31).							
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
a. is attached hereto (required	a. is attached hereto (required only if not communicated by the International Bureau).						
b. has been communicated by	b. has been communicated by the International Bureau.						
c. is not required, as the applic	c. is not required, as the application was filed in the United States Receiving Office (RO/US).						
6. An English language translation of the	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).						
a. is attached hereto.	a. is attached hereto.						
	b. has been previously submitted under 35 U.S.C. 154(d)(4).						
7. Amendments to the claims of the Inter	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))						
a. are attached hereto (require	a. are attached hereto (required only if not communicated by the International Bureau).						
b. have been communicated t	b. have been communicated by the International Bureau.						
c. have not been made; howe	c. have not been made; however, the time limit for making such amendments has NOT expired.						
d. 🔟 have not been made and w	d. I have not been made and will not be made.						
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary E	xamination Report under PCT					
Items 11 to 20 below concern document(s)	or information included:						
11. An Information Disclosure Statement u	under 37 CFR 1.97 and 1.98.						
12. An assignment document for recording	g. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.					
13. A preliminary amendment.	A preliminary amendment.						
14. An Application Data Sheet under 37 C	An Application Data Sheet under 37 CFR 1.76.						
15. A substitute specification.	A substitute specification.						
16. A power of attorney and/or change of a	A power of attorney and/or change of address letter.						
17. A computer-readable form of the sequ	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Interna	A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20. U Other items or information:							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP20 Rec'd PCT/FTO 19 NOV 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995 to persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
		PCT/IB2004/0023	29	OT-5302	
The following fees have been submitted		CALCULATIONS	PTO USE ONLY		
21. 🗹 Basic na	ational fee		\$300	\$ 300.00	
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)			\$ 200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$ 100.00		
TOTAL OF 21, 22 and 23 =			\$ 600.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			-		
Total Sheets E		additional 50 or fraction p to a whole number)	RATE		
- 100 =	/50 = 0		x \$250	\$ 0	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =	0	× \$ 50	\$ ₀	
Independent claims	- 3 =	0	x \$200	\$ 0	
MULTIPLE DEPEND	ENT CLAIM(S) (if applicable)	-	+ \$360	\$	
TOTAL OF ABOVE CACULATIONS = \$ 600.00					
Applicant claims	small entity status. See 37 CFR	1.27. Fees above are reduc	ced by 1/2.		
			SUBTOTAL =	\$ 600.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					•
		TOTAL	NATIONAL FEE =	\$ 600.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED = \$ 640.00				\$ 640.00	·
			<i>v</i> :	Amount to be refunded:	\$
			·	Amount to be charged:	\$
a. A check in the amount of \$ to cover the above fees is enclosed.					
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Lisa A. Bongiovi SIGNATURE					
OTIS ELEVATOR COMPANY Intellectual Property Dept. Lisa A. Bongiovi					
Ten Farm Springs Farmington, CT 06032 NAME					
			48,933	_	
			REGISTRATIO	N NUMBER	

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

IAP20 Rac'd PCT/PTO 19 NOV 2005

I hereby certify that the correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

EQ021748451 US	•
Express Mail Number	
November 19, 2005	
Date	
	In hill
	Signature
	Tricia Smith
	Typed or printed name

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Enclosures:

- 1. Transmittal Letter to the United States Designated/Elected Office Concerning a Filing under 35 U.S.C. 371;
- 2. Preliminary Amendment;
- 3. Formal Drawings;
- 4. Copy of Declaration: Inventorship
- 5. Copy of Assignment and Recordation Form Cover Sheet;
- 6. Form PTO-2038; and
- 7. Copy of International Publication No. WO 2004/106206 A2.